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FORM PTO 1449	ATTY. DOCKET NO.: P68054US0	APPLICATION NO.: 10/260,609
<u>INFORMATION DISCLOSURE STATEMENT</u>	APPLICANT(S): Stephen J. PANDOL et al.	
	FILING DATE: 1 October 2002	GROUP: 1614

U.S. PATENT DOCUMENTS

EXAMINER'S INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
						<input type="checkbox"/> Yes <input type="checkbox"/> No

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER'S INITIAL			Include Author, Date, Title, Pertinent, etc.
JHX	BZ	1	Steinmetz, K. et al., (1991) "Vegetables, fruit, and cancer. I. Epidemiology", Cancer Causes and Control, Vol. 2, pp. 325-357.
	CA	1	Subbaramaiah, K., et al., (1998) "Resveratrol Inhibits Cyclooxygenase-2 Transcription and Activity in Phorbol Ester-treated Human Mammary Epithelial Cells", The Journal of Biological Chemistry, Vol. 273, No. 34, pp. 21875-21882.
	CB	1	Surh, Y. et al., (1999) "Resveratrol, an Antioxidant Present in Red Wine, Induces Apoptosis in Human Promyelocytic Leukemia (HL-60) Cells", Cancer Letters, Vol. 140, pp. 1-10.
	CC	1	Szatrowski, T. et al., "Production of Large Amounts of hydrogen peroxide by human Tumor Cells", Cancer Research, Vol. 51, pp. 794-798 (date not available)
	CD	1	Thannickal, V. et al., (2000) "Ras-dependent and Independent Regulation of Reactive Oxygen Species by Mitogenic Growth Factors and TGF-β1", The FASEB Journal, Vol. 14, pp. 1741-1748.
	CE	1	Thannickal, V. et al., (2000) "Reactive Oxygen Species in Cell Signaling", Am J. Physiol Lung Cell Mol. Physiol, Vol. 279, pp. L1005-L1028.
	CF	1	Thompson, Craig, (1995) "Apoptosis in the Pathogenesis and Treatment of Disease", Science, Vol. 267, pp. 1456-1462.
	CG	1	Todd, K. et al., "Pancreatic Adenocarcinoma", Chapter 95, pp. 2178-2193. (date not available)
	CH	1	Tsai, S. et al., (1999) "Suppression of Nitric Oxide Synthase and the Down-Regulation of the Activation of NF-κB in Macrophages by Resveratrol", British Journal of Pharmacology, Vol. 126, pp. 673-680.
	CI	1	Wang, C. et al., (1999) "NF-κB Induces Expression of the Bcl-2 Homologue A1/Bfl-1 To Preferentially Suppress Chemotherapy-Induced Apoptosis", Molecular and Cellular Biology, Vol. 19, No. 9, pp. 5923-5929.
CJ	1	Wang, C. et al., (1996) "TNF- and Cancer Therapy-Induced Apoptosis: Potentiation by Inhibition of NF-κB", Science, Vol. 274, pp. 784-787.	
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EXAMINER: <i>James H. Hsiao</i>	7/30/03
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gbr	CL	1	Wolf, B. et al., "Defective Cytochrome c-dependent Caspase Activation in Ovarian Cancer Cell Lines Due to Diminished or Absent apoptotic Protease Activating Factor-1 Activity" The Journal of Biological Chemistry, Vol. 276, NO. 36, pp. 34244-34251 (date not available)
	CM	1	Woo, C. et al., (2000) "Involvement of Cytosolic Phospholipase A <sub>2</sub> and the Subsequent Release of Arachidonic Acid, in Signalling by Rac for the Generation of Intracellular Reactive Oxygen Species in Rat-2 Fibroblasts", Biochem. J., Vol. 348, pp. 525-530.
	CN	1	Xie, et al., (2000) "Activation of NF- $\kappa$ B by Bradykinin Through a G $\alpha_q$ - and G $\beta\gamma$ -dependent Pathway That Involves Phosphoinositide 3-Kinase and Akt", Biological Chemistry J., Vol. 275, No. 32, pp. 24907-24914.
gbr	CO	1	Yin, X. et al., (1999) "Bid-deficient Mice Are Resistant to Fas-induced Hepatocellular Apoptosis", Nature, Vol. 400, pp. 886-891.
EXAMINER	James H. Cleaver		7/30/03
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*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A US-			
	B US-			
	C US-			
	D US-			
	E US-			
	F US-			
	G US-			
	H US-			
	I US-			
	J US-			
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	Q				
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	S				
	T				

## NON-PATENT DOCUMENTS

*	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages			
	U	Cecil Textbook of Medicine, 21 <sup>st</sup> edition, vol. 1, Goldman et al., eds., published 2000 by Saunders Co., (PA), pp 1060-1074.		
	V			
	W			
	X			

**Notice of References Cited**Application/Control No.  
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A	US-6,410,061	06-2002	Morre et al.	424/729
B	US-6,428,818	08-2002	Morre et al.	424/729
C	US-			
D	US-			
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F	US-			
G	US-			
H	US-			
I	US-			
J	US-			
K	US-			
L	US-			
M	US-			

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U	Weyant et al, Cancer Research, Vol. 61, pp. 118-25, 1/2001.			
V	Lee et al, Cell Death & Diff, vol. 7, pp. 925-32, 2000.			
W	Vaquero et al, Pancreatology, Vol. 2, pp. 217-361 (abstract), 2002.			
X	Yamamoto et al, J. Clin. Invest., vol. 107, pp. 135-142, 2001.			

A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
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	U	Beers et al, Molecular Breeding of Woody Plants, pp. 43-52, 2001.
	V	
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HJM	AA	<u>1</u>	Ahmad, N., et al. (2000) "Green Tea Polyphenol Epigallocatechin-3-Gallate Differentially Modulates Nuclear Factor $\kappa$ B in Cancer Cells Versus Normal Cells", Archives of Biochemistry and Biophysics, Vol. 376, No. 2, pp. 338-346.
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	AC	<u>1</u>	Balla, T. (2001) "Pharmacology of Phosphoinositides, Regulators of Multiple Cellular Functions" Current Pharmaceutical Design, Vol. 7, pp. 475-507.
	AD	<u>1</u>	Bos, J. L. (1989) "ras Oncogenes in Human Cancer: A Review", Cancer Research, Vol. 49, pp. 4682-4689.
	AE	<u>1</u>	Bravo, L. (1998) "Polyphenols: Chemistry, Dietary Sources, Metabolism, and Nutritional Significance" Nutrition Reviews, Vol. 56, No. 11, pp. 317-333.
	AF	<u>1</u>	Cohen, J. (1993) "Overview: Mechanisms of apoptosis", Immunology Today, Vol. 14, No. 3, pp. 126-130.
	AG	<u>1</u>	Crompton, M. (1999) "The mitochondrial permeability transition pore and its role in cell death", Biochemical Society, Vol. 341, pp. 233-249.
	AH	<u>1</u>	Davis, Jr., W. et al., (2000) "Cellular Thiols and Reactive Oxygen Species in Drug-Induced Apoptosis", The Journal of Pharmacology and Experimental Therapeutics, Vol. 296, No. 1, pp. 1-6.
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	HJM	AM	<u>1</u>

EXAMINER	<i>James McNamee</i>	7/30/03
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<div style="text-align: center;"> </div>	AN	<u>1</u>	Green, D. (1998) "Apoptotic Pathways: The Roads to Ruin" Cell, Vol. 94, pp 695-698.
	AO	<u>1</u>	Green, D. et al, (1998) "Mitochondria and Apoptosis" Science, Vol. 281, pp 1309-1312.
	AP	<u>1</u>	Gukovskaya, A. et al., (1996) "Mechanisms of Cell Death After Pancreatic Duct Obstruction in the Opossum and the Rat" American Gastroenterological Association, Vol. 110, pp. 875-884.
	AQ	<u>1</u>	Gukovskaya, A. et al., (1997) "Pancreatic Acinar Cells Produce, Release, and Respond to Tumor necrosis Factor- $\alpha$ " The Journal of Clinical Investigation, Vol. 100, 1853-1862
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	AX	<u>1</u>	Islam, S. et al., (2000) "Involvement of Caspase-3 in Epigallocatechin-3-gallate-Mediated Apoptosis of Human Chondrosarcoma Cells" Biochem. And Biophys Research Comm., Vol. 270, pp. 793-797.
	AY	<u>1</u>	Jang, M. et al., (1997) "Cancer Chemopreventive Activity of Resveratrol, a Natural Product Derived from Grapes" Science, Vol. 275, pp. 218-220
<div style="text-align: center;"> </div>	AZ	<u>1</u>	Kandel, E. et al., (1999) "The Regulation and Activities of the Multifunctional Serine/Threonine Kinase Akt/PKB" Experimental Cell Research, Vol. 253, pp. 210-229.
EXAMINER	James H. Kramer		7/30/03
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JHK	BA	1	Kane, L. et al., (1999) "Induction of NF- $\kappa$ B by the Akt/PKB Kinase" Current Biology, Vol. 9, No. 11, pp. 601-604.
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	BJ	1	Madrid, L. et al., (2001) "Akt Stimulates the Transactivation Potential of the RelA/p65 Subunit of NF- $\kappa$ B through Utilization of the I $\kappa$ B Kinase and Activation of the Mitogen-activated Protein Kinase p38" Journal of Biological Chemistry, Vol. 276, pp. 18934-18940.
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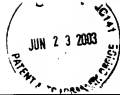
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	CG	<u>1</u>	Todd, K. et al., "Pancreatic Adenocarcinoma", Chapter 95, pp. 2178-2193.
	CH	<u>1</u>	Tsai, S. et al., (1999) "Suppression of Nitric Oxide Synthase and the Down-Regulation of the Activation of NF $\kappa$ B in Macrophages by Resveratrol", British Journal of Pharmacology, Vol. 126, pp. 673-680.
	CI	<u>1</u>	Wang, C. et al., (1999) "NF- $\kappa$ B Induces Expression of the Bcl-2 Homologue A1/Bf1-1 To Preferentially Suppress Chemotherapy-Induced Apoptosis", Molecular and Cellular Biology, Vol. 19, No. 9, pp. 5923-5929.
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EXAMINER

*James H. Bramer*

7/30/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



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FORM PTO 1449 <u>INFORMATION DISCLOSURE STATEMENT</u>	ATTY. DOCKET NO.: P68054US0	APPLICATION NO.: 10/260,609
	APPLICANT(S): Stephen J. PANDOL et al.	
	FILING DATE: 1 October 2002	GROUP: 1614

U.S. PATENT DOCUMENTS

EXAMINER'S INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
						<input type="checkbox"/> Yes <input type="checkbox"/> No

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER'S INITIAL			Include Author, Date, Title, Pertinent, etc.
2/2/03	CL	1	Wolf, B. et al., "Defective Cytochrome c-dependent Caspase Activation in Ovarian Cancer Cell Lines Due to Diminished or Absent apoptotic Protease Activating Factor-1 Activity" The Journal of Biological Chemistry, Vol. 276, NO. 36, pp. 34244-34251.
1	CM	1	Woo, C. et al., (2000) "Involvement of Cytosolic Phospholipase A <sub>2</sub> and the Subsequent Release of Arachidonic Acid, in Signalling by Rac for the Generation of Intracellular Reactive Oxygen Species in Rat-2 Fibroblasts", Biochem. J., Vol. 348, pp. 525-530.
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2/2/03	CO	1	Yin, X. et al., (1999) "Bid-deficient Mice Are Resistant to Fas-induced Hepatocellular Apoptosis", Nature, Vol. 400, pp. 886-891.

EXAMINER

*James H. Beaman*

7/30/03

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